



**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

(Attorney Docket No.: 109779.134)

Applicant(s): Newnam, et al.

Serial No.: 09/931,590

Examiner: Not Yet Assigned

Filed: August 16, 2001

Group Art Unit: 2155

For: **SYSTEM AND METHOD FOR RECORDING AND PLAYING  
BACK INTERACTIVE CONTENT DURING A BROADCAST EVENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

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**CERTIFICATE UNDER 37 C.F.R. 1.8(a)**

*I hereby certify that this correspondence is being as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on the date set forth below.*

*May 23, 2002*  
Date

*Anthony W. Sutton*  
Anthony W. Sutton

**SECOND INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner:

Applicant and their attorney are aware of the following publications and information listed on the attached PTO Form 1449, and in accordance with 37 CFR §1.97 hereby submit these publications for the Examiner's consideration.

Pursuant to 37 C.F.R. §1.97, Applicant hereby makes of record the documents listed on the attached Form PTO-1449. Copies of the listed documents are enclosed.

It is respectfully requested that the information above be expressly considered during the prosecution of this application and that the publications be made of record therein and appear among the "References Cited" on any patent to issue therefrom. In this regard, it is requested that the Examiner initial and return a copy of the enclosed Form PTO-1449 with the next Patent Office Communication.

This submission does not represent that a search has been made and does not constitute an admission that the listed documents are material to patentability or that the listed documents are prior art. If it should be determined that any of the listed documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

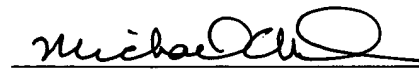
Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits and is therefore submitted as both timely and proper; therefore, no fees are believed to be due.

The Commissioner, however, is hereby authorized to charge any fee deficiency or credit any overpayment to Deposit Account No. 08-0219.

Respectfully submitted,

Date: 5-23-02



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TRANSMITTAL LETTER

Commissioner:

Enclosed for filing are the following documents:

- 1.) Second Information Disclosure Statement;
- 2.) Form PTO-1449, including (8) documents; and
- 3.) Return Postcard.

Please charge any deficiency or credit any overpayment in connection with this matter to Deposit account No. 08-0219.

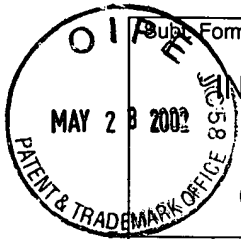
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Sub Form PTO-1449

INFORMATION DISCLOSURE  
IN AN APPLICATION

(Use several sheets if necessary)

Sheet 1 OF 1

Docket Number  
109779.134Application Number  
09/931,590Applicant  
Newnam, et al.Filing Date  
August 16, 2001Group Art Unit  
2155

## U.S. Patent Documents

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

## Foreign Patent Documents

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
						YES NO
	WO 97/34419	Sep. 18, 1997	PCT			
	WO 98/28916	July 2, 1998	PCT			
	WO 01/39506	May 31, 2001	PCT			

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## Other Documents (Including Author, Title, Date Pertinent Pages, Etc.)

A1	ABC Sports, "ABC Sports To Launch Enhanced TV Programming During January 4 Tostitos Fiesta Bowl College Football National Championship Game" <i>Press Release</i> (1998)
A2	CNET News, "Companies Want To Raise Boob Tube's IQ" <i>Article</i> (1996)
A3	Steeplechase Media, Inc., "Steeplechase Media Develops Enhanced TV The Documentary Channel" <i>Press Release</i> (1998)
A4	Intel, "MTV and Intel Create New Interactive Experience For Music Fans" <i>Article</i> (1997)
A5	Intel, "Intel and PBS To Air First Nationwide Enhanced Digital TV Broadcast" <i>Article</i> (1998)

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP § 609: Draw Line through citation if not conformance and not considered. Include copy with next communication to applicant.	